



TRANSMITTAL FORM		Application No.	10/830,210
		Filing Date	April 21, 2004
(to be used for all correspondence after initial filing)		First Named Inventor	Mark S. Knighton
		Art Unit	2622
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission 8		Attomey Docket Number	4956P017
ENCLOSURES (check all that apply)			
Fee Transmittal Form	Drawing(s)	)	After Allowance Communication to Group
Fee Attached	Licensing-r	elated Papers	Appeal Communication to Board of Appeals and Interferences
Amendment / Response	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final Affidavits/declaration(s)	Petition to O Provisional	Convert a Application	Proprietary Information
Extension of Time Request	Power of A Change of	ttorney, Revocation Correspondence Address	Status Letter
Express Abandonment Request	Terminal Disclaimer		Other Enclosure(s) (please identify below):
Information Disclosure Statement	Request for	Refund	-Return Receipt Postcard (1)
PTO/SB/08  Certified Copy of Priority Document(s)	CD, Numbe	er of CD(s)	
Document(s)  Response to Missing Parts/ Incomplete Application		<del></del>	
Basic Filing Fee	Remarks		
Declaration/POA			
Response to Missing Parts under 37 CFR 1.52 or 1.53			
SIGNATUR	E OF APPLICA	NT, ATTORNEY, OR AG	ENT
Firm Thomas M. Co	ester, Reg. N	o. 39,637	
I madical along the same	SOKOLOFF,	TAYLOR & ZAFM	IAN LLP
Signature Turnas Cu	este		
Date October 13, 2	004		
CERTIF	ICATE OF MAIL	ING/TRANSMISSION	
I hereby certify that this correspondence is bein postage as first class mail in an envelope address.	g deposited with the essed to: Commissi	e United States Postal Servic oner for Patents, P.O. Box 14	æ on the date shown below with sufficient 450, Alexandria, VA 22313-1450.

Date

October 13, 2004

Signature

Based on PTO/SB/21 (04-04) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 06/04/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Susan M. Barrette

Typed or printed name



#### Complete if Known Application Number 10/830,210 Filing Date April 21, 2004 Mark S. Knighton Effective 01/01/2004. Patent fees are subject to annual revision. First Named Inventor Not Yet Assigned Examiner Name Applicant claims small entity status. See 37 CFR 1.27. Art Unit 2622 TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No. 4956P017

METHOD OF PAYMENT (check all that apply)				FE	E CALCULATION (continued)	
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. A	DDITIO	NAL	FEES	-	
Deposit Account	Large	Entity	Sma	II Entity	,	
<b>.</b>	Fee	Fee	Fee	Fee	-	
Deposit Account Number 02-2666	Code	(\$)	Code	(\$)	Fee Description	FeePaid
Number 02-2000	1051	130 50	2051 2052	65 25	Surcharge - late filing fee or oath	
Deposit Account Plate by Solve left Toylor P. Zefman I I D	1052	3.0	2002	25	Surcharge - late provisional filing fee or cover sheet.	
Blakely, Sokoloff, Taylor & Zafman LLP	2053	130	2053	130	Non-English specification	
The Commissioner is authorized to: ( check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments	1804	920 *	1804	920	* Requesting publication of SIR prior to Examiner action	
Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840 *	1805	1,840	* Requesting publication of SIR after	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account					Examiner action	
	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	430	2252	215	Extension for reply within second month	
1. BASIC FILING FEE	1253	980	2253	490	Extension for reply within third month	
Large Entity Small Entity Fee Fee Fee Fee Fee Description FeePad	1254	1,530	2254	765	Extension for reply within fourth month	
Code (\$) Code (\$)	1255	2,080 340	2255	1,040	Extension for reply within fifth month	
1001 790 2001 395 Utility filing fee	1404	340	2401	170 170	Notice of Appeal Filing a brief in support of an appeal	
1002 350 2002 175 Design filing fee	1402 1403	300	2402	150	Request for oral hearing	
1003 550 2003 275 Plant filing fee	1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1004 790 2004 395 Reissue filing fee 1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable	
	1453	1,370	2453	685	Petition to revive - unintentional	
SUBTOTAL (1) (\$)	1501	1,370	2501	685	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra Fee from	1502	490	2502	245	Design issue fee	
Claims below FeePaid	1503	660	2503	330	Plant issue fee	
Total Claims . 20 = X	1460	130	2460	130	Petitions to the Commissioner	
Independent Sams 3 = X = =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Multiple Dependent =	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)		700		205	Filing a submission after final rejection	
1202 18 2202 9 Claims in excess of 20	1809	790	1809	395	(37 CFR § 1.129(a))	
1201 88 2201 44 Independent claims in excess of 3	1810	790	2810	395	For each additional invention to be	
1203 300 2203 150 Multiple Dependent claim, if not paid					examined (37 CFR § 1.129(b))	
1204 88 2204 44 **Reissue independent claims over original patent	1801	790	2801	395	Request for Continued Examination (RCE)	
·	1802	900	1802	900	Request for expedited examination of a design application	
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Other te	e (specify)				
SUBTOTAL (2) (\$)						
**or number previously paid, if greater, For Reissues, see below				Paid	SUBTOTAL (3) (\$)	
SUBMITTED BY				-	Complete // and line	
Name (Print/Type) Thomas M. Coester		egistratio torney/Age		3	Complete (if applicate spaces of the spaces	
Signature Chromas Colate					Date 10/13	

Docket No.: 4956P017

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MARK S. KNIGHTON, ET AL.

Application No.: 10/830,210

Filed: April 21, 2004

For: **HAND HELD PORTABLE THREE** 

**DIMENSIONAL SCANNER** 

Art Group: 2622

Examiner: Not Yet Assigned

### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: October 13, 2004 Thomas Colote

Thomas M. Coester, Reg. No. 39,637

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Susan M. Barrétte

Date

10-13-04



Substitute for form 1449A/PTO

## Substitute for form 1449A/PTO INFORMATION DISCLOSURE OUTPUT TO SUBSTITUTE OF THE PROPERTY O STATEMENT BY APPLICANT

(use as many sheets as necessary)

2 **Sheet** of

Complete if Known		
Application Number	10/830,210	
Filing Date	April 21, 2004	
First Named Inventor	Mark S. Knighton	
Art Unit	2622	
Examiner Name	Not Yet Assigned	
Attorney Docket Number	4956P017	

			U.S. PATI	ENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-Des. 334,439	03-30-1993	Meyer, et al.	
		US-Des. 337,059	07-06-1993	Crafoord	
		US-Des. 337,060	07-06-1993	Crafoord	
		US-Des. 345,028	03-08-1994	Alvarez Baranga	
		US-Des. 377,932	02-11-1997	Schena, et al.	
		US-Des. 414,676	10-05-1999	Cieslikowski	
		US-Des. 423,534	04-25-2000	Raab, et al.	
		US-D472824	04-08-2003	Raab, et al.	
		US-D478,392	08-12-2003	Kovacik, et al.	
		US-3,913,582	10-21-1975	Sharon	
		US-4,165,530	08-21-1979	Sowden	
		US-4,637,773	01-20-1987	Nakashima, et al.	
		US-4,693,663	09-15-1987	Brenholt, et al.	
		US-5,016,153	05-14-1991	Gismondi, et al.	
		US-5,140,129	08-18-1992	Torii, et al.	
		US-5,303,136	04-12-1994	Brown	
		US-5,669,150	09-23-1997	Guertin, et al.	
		US-5,724,264	03-03-1998	Rosenberg, et al.	
		US-5,926,782	07-20-1999	Raab	
		US-5,978,748	11-02-1999	Raab	

		FOR	REIGN PATENT	DOCUMENTS		
Examiner	Cite	Foreign Patent Document			Pages Columns Lines	
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ°
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Sheet** 2 **of** 2

Complete if Known		
Application Number	10/830,210	
Filing Date	April 21, 2004	
First Named Inventor	Mark S. Knighton	
Art Unit	2622	
Examiner Name	Not Yet Assigned	
Attorney Docket Number	4956P017	

			U.S. PATE	NT DOCUMENTS	
Examiner Initials*	Cite No.¹	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,298,569	10-09-2001	Raab, et al.	
		US-6,366,831	04-02-2002	Raab	
		US-6,535,794	03-18-2003	Raab	
		US-6,592,241	07-15-2003	Kovacik, et al.	
		US-6,606,539	08-12-2003	Raab	
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	FOREIGN PATENT DOCUMENTS						
Examiner	saminer Cite Foreign Patent Document				Pages Columns Lines	Γ	
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	
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Examiner	Date
Signature	Considered

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.